

APPLICATION
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TITLE: APPARATUS AND METHOD FOR STORING AND/OR
READING DATA ON AN OPTICAL DISK

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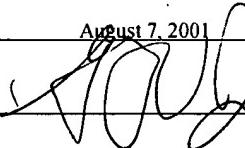
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**APPARATUS AND METHOD FOR STORING AND/OR READING
DATA ON AN OPTICAL DISK**

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BACKGROUND

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Cross-Reference to Related Applications:

This application is a divisional of U.S. application serial no. 08/427,721, filed April 21, 1995, now U.S. patent no.

10 6,272,095 issued August 7, 2001, which is a continuation-in-part of U.S. application serial no. 08/279,492, filed July 22, 1994, now abandoned.

Technical Field:

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This invention relates to devices and methods of storing and/or reading data on an optical disk, and more particularly to such devices and methods employing techniques wherein the optical disk is encoded using holographic gratings. Further, 20 the present invention relates to such devices and methods wherein more than one holographic grating can be formed in the same portion of the optical disk, thereby increasing the data storage capacity of the disk.

25 **Background Art:**

Optical memories, such as a compact disk-read only memory (CD-ROM), are capable of a relatively large storage density, when compared to other data storage media. These high storage-density memories are revolutionizing the computer industry and have made memory-thirsty multimedia products for publishing and entertainment the hottest-selling computer hardware and

software. As an example, a 5-inch CD-ROM disk can store a 30-volume encyclopedia containing not only text and pictures, but also sounds and videos. Optical memories also reduce the space needed for storing exploding data files. The space once needed
5 to store volumes of printed material is no longer necessary. A few, small optical disks take their place. The cost of manufacturing optical disks can also be much less than equivalent paper publishing. For instance, the cost of making a conventional compact disk (CD), in quantity, is less than a
10 dollar. Benefiting from the huge market of audio CDs, CDROM technology has become very mature and low cost.

Conventional CD-ROM type optical memories have high storage density, mainly because the space needed to store one bit of
15 digital data corresponds to the size of an optical beam at its point of focus. Of course, the diameter of the optical beam at the point of focus varies with the wavelength of light employed. Typically, this diameter is about $1 \mu\text{m}$ in current CD-ROM players employing a standard wavelength of about $0.78 \mu\text{m}$. Given this diameter, a total of about 650 MB can be stored
20 on a CD (assuming the data is stored in the typically used area between a radius of 59 mm and a radius of 120 mm). This storage capacity is enough for some current audio and computer applications, but it is still too small for high-resolution
25 video applications. To illustrate, the above-described CD can store about 30 minutes of compressed video, which will not hold an average movie. As a result, videos are currently distributed on larger 124nch disks, which are not compatible with the conventional 5 inch disk CD-ROM players. In addition
30 to video, many other data storage applications are being restricted by the data storage limitations of current CD-ROM

systems. Thus, increasing the storage capacity of an optical disk beyond current CD-ROM systems would be advantageous.

One way of further increasing the storage density of an
5 optical memory, such as a CD-ROM, is to use a shorter wavelength, thereby reducing the diameter of the optical beam at its point of focus. Thus, more data bits can be fit onto the optical disk. Much effort has been expended in the development of short wavelength laser diodes, partly for this
10 reason. However, to date no such system has become commercially available.

Another approach to increasing the storage density of an optical memory has involved employing holographic techniques.

15 In a conventional CD-ROM the data bits are represented by pits on a plastic disk coated with a protection layer. The length of the pit encodes the data stored. A laser beam is focused to a very tiny spot (*i.e.*, ~1.7 μm in diameter) on the optical disk. When the focused beam hits a pit, the reflected
20 intensity is much lower than when only a land is illuminated. An optical head is able to convert the reflections from the pits and lands to appropriate electrical pulses which are then decoded and sent to a buffer memory and finally to the host computer.

25 Conversely, holographic techniques involve using a hologram to store and reconstruct whole images. This hologram could be an analog image of some type, or a page of digital data. A volume holographic disk is used as the storage medium. In the analog
30 case, the reconstructed image is focused on a detector array or a camera for further processing. In the digital case, the reconstructed bit array would be focused and mapped onto a

detector array before processed by decoding circuitry. Reading out an entire page of digital data in this above-described parallel manner would have the advantage of creating a higher data throughput rate. In addition, more data could

- 5 theoretically be stored per unit area than with conventional CD methods. This latter advantage could be further enhanced by multiplexing techniques which would allow the storage of multiple holograms in the same spot on the disk. However, the aforementioned holographic approach requires sophisticated
- 10 optics and supporting mechanisms. For example, in the case of the storage of digital data, a precise one-to-one mapping between the elements of the bit array on the disk and the elements of the detector array in the optical head is essential. This requires highly accurate optical heads and
- 15 drive mechanisms.

In addition, because of the complexity of the systems needed to record and readout a holographic image, e.g. a precisely positioned detector array, existing CD-ROM systems can not be

- 20 employed. Rather, new, completely unique drives are required. Thus, the aforementioned holographic approach can not take full advantage of the mature technologies associated with current CD-ROM drives.

- 25 More recently, an approach was introduced to increase the storage capacity of conventional CD-ROM system by stacking CDs in pancake fashion. To read from the different layers in this stack CD array, the focus of the readout beam is changed by moving its associated focusing lens(es). The number of CDs
- 30 that can be stacked in this manner is dependent on the insertion loss of each CD layer. To date, a system with six stacked CDs has been demonstrated. Although stacking increases

storage capacity of the overall system, each of the CDs in the stack are still limited to the volume of data described above.

In view of the drawbacks associated with the above-described
5 approaches to increasing the data storage capability of an optical memory, it is desirable that other approaches be developed which can overcome the problems of existing systems.

SUMMARY

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The foregoing objective is attained by apparatuses and methods of storing and/or reading data from an optical disk in accordance with the present invention. A holographic-grating based system is employed which uses wavelength-multiplexed or
15 confocal-multiplexed techniques. The proposed apparatuses and methods store and/or read data by creating and detecting the presence of holographic gratings in the optical disk. Multiple holographic gratings can be stored in the same location within the disk by varying the wavelength (c wavelength-multiplexing)
20 or the point of focus (i.e., confocal-multiplexing) of light beams used to create them. The aforementioned holographic grating is an interference pattern created in a holographic medium.

25 In one embodiment of the present invention, the presence of a holographic grating within a data storage location of the disk indicates a first binary state, whereas the absence of such a holographic grating indicates the second binary state. In other embodiments of the invention, the holographic gratings
30 are created with varying diffraction efficiencies. The variance in diffraction efficiency allows distinguishing holographic grating associated with the same wavelength or

point of focus from each other. This in turn allows the holographic gratings to represent n-ary or analog-valued data. Some embodiments of the present invention employ the use of the mature technologies already developed for the conventional 5 CD-ROM drives. In these embodiments, the structure of the proposed system is similar to the conventional CD-ROM systems, except that the pit-encoded disk medium is replaced by the holographic grating-based disk medium according to the present invention. The storage density of the proposed optical memory 10 can be potentially increased N-fold by wavelength or confocal multiplexing N holographic gratings in a volume holographic disk at each beam position. Additionally, as the disk spinning rate can be the same as that of a conventional CD-ROM drive in some embodiments of the present invention, the data transfer 15 rate can be increased N times by scanning N wavelengths at each beam position. The new system can also be easily made to read existing CDs, as well as, the proposed high-density holographic optical disk. In addition to apparatuses and methods for storing and/or reading data, the invention also 20 includes methods of replicating data on an optical disk.

An optical memory for storing and/or reading data on an optical disk in accordance with the present invention includes an optical disk partially made of a material in which 25 holographic gratings can be created at plural locations within the disk, and an electro-optical head capable of creating the holographic gratings at any one of the plural locations within the disk. The head is also capable of detecting the presence or absence of the holographic grating at any one of the plural 30 locations. As indicated above, the presence of the holographic grating could indicate a first binary state and the absence of the holographic grating indicate a second binary state.

Alternately, the electro-optical head could include a device for varying the diffraction efficiency of the holographic gratings during their creation. In that case, the head would also be capable of detecting this variation in efficiency and

5 producing a detection signal proportional to it. This signal would then be used as an indicator of the value of the stored data element represented by the detected holographic grating.

The aforementioned material making up part of the disk is also capable of having multiple holographic gratings created at any

10 one of the plural locations. In one embodiment of the present invention, these multiple holographic gratings are created via a beam of light generated by the electro-optical head, where the beam of light has a different wavelength for each one of the multiple holographic gratings created. The data can be

15 written in the disk such that a sequence of data bits is encoded into each of the plural locations. The data is then retrieved by using the head to read all the data from one of the plural locations and then going to the next. Alternately, all the plural locations can be encoded with successive data

20 bits at one wavelength. In this case, the entire disk would then be encoded again and again at different wavelengths. To retrieve data from a disk so encoded, the disk can be read at any one of the wavelengths used for recording, and then another, and so on. To accomplish the first-described

25 approach, the electrooptical head is capable of creating multiple holographic gratings at each one of the plural locations within the disk, each holographic grating being created with at a different wavelength. To accomplish the latter approach, the electrooptical head is capable of

30 creating holographic gratings at plural sets of the plural locations within the disk, the holographic gratings within a particular set of the plural locations being created at a same

wavelength which is different from the wavelength used to create holographic gratings within other of the sets of plural locations. Although not required, a set of plural locations preferably includes the maximum number of data storage areas
5 that the can fit in the disk.

Specifically, the electro-optic head includes a device for generating a beam of substantially coherent light, the wavelength of said beam of light being capable of being
10 varied. In addition, there is a collimating device for collimating the beam of light, a device for producing a first and second collimated beam of light from the collimated beam of light, and a device for directing the first collimated beam towards the disk. A device for routing the second collimated
15 beam to a focusing device is used to create a focused beam. The focused beam is directed at a one of the plural locations within the disk. This occurs during the data recording process. It is noted that the focused beam can be directed at one of the plural locations either in a direction opposite
20 from the first collimated beam, or in the same direction as this beam.

A device for detecting reconstruction beams emanating from a holographic grating created within a one of the plural
25 locations within the disk can also be included for use during a reading process. If so, a device for blocking the second collimated beam from reaching the one of the plural locations during the data reading process can also be included. This feature prevents the second collimated beam from interfering
30 with the aforementioned reading process. The detecting device mentioned above includes a light detector, a second directing device for directing light beams reconstructed from the disk

during the reading step towards the light detector, and a masking device for blocking light beams reconstructed from holographic gratings created in adjacent ones of the plural location and for allowing light reconstructed from a
5 holographic grating created in the one of the plural locations being read to reach the light detector.

The just described device uses a plane wave beam, i.e., the first collimated beam, as one of the two counterpropagating or
10 co-propagating beams which create the holographic grating in the disk. However, a second focusing device could also be used to focus the first collimated beam prior to reaching the disk, so as to create a second focused beam directed at the aforementioned one of the plural locations within the disk. In
15 this case, the masking device could be eliminated, although it is preferred that it remains.

The optical memory can also include a device for fixing holographic gratings created within the disk. This fixing
20 prevents light impinging on the disk from creating new holographic gratings or affecting holographic gratings already existing in the disk.

The aforementioned device for generating a beam of substantially coherent light can include a tunable laser diode
25 capable of producing light at a plurality of different wavelengths, or it can have a plurality of single-wavelength laser diodes each capable of producing light at a different wavelength.

30 In the embodiment of the invention employing a plane-wave beam and a focused beam to write holographic gratings, the focusing

device can be made to bring the second collimated beam to a point of focus anywhere in relation to the holographic disk material. For instance, near the center of the layer of holographic disk material. However, in some cases it is

5 preferable that the focusing device causes the focused beam to come to a focus at a point outside the material in which a holographic grating is created. Similarly, the focusing devices associated with the embodiment of the invention employing two counterpropagating or copropagating focused

10 beams to write the holographic gratings can bring the beams to a common point of focus anywhere in relation to the holographic material of the disc, including in the center or outside.

15 The electro-optic head described above can be modified by eliminating the devices used to create and route the second collimated beam to the second focusing means. It is preferred that this modified device include the aforementioned focusing device used to focus the first collimated beam prior to

20 reaching the disk, and that only counterpropagating beams be employed to create the holographic gratings in the disk. Instead of the eliminated devices, a collimating and focusing device is included for collimating the first focused beam (*i.e.*, the focused first collimated beam) subsequent to

25 exiting the disk to produce a second collimated beam. A reflecting device is subsequently used to reflect the second collimated beam back toward the collimating and focusing device which focuses it to create a second focused beam directed at the one of the plural locations within the disk in

30 a direction opposite from the first focused beam, during the recording process. Other than the above-described differences,

the modified embodiment is the same as the originally described version of the invention.

In another modification of the electro-optic head, a device is
5 included to split the beam of light produced by the generating device into first and second beams before being collimated, rather than employing the aforementioned device to split the already collimated beam. In this modified head a device is included to route the first beam to a collimating device, and
10 another device is used to route the second beam to a second collimating device. Preferably the routing devices are fiber optic lines. Other than these changes, the modified head is the same as the first described embodiment.

15 It was stated above that the described embodiments of the invention used a beam of light varied in wavelength to create multiple holographic gratings in the disk. However, multiple holographic gratings can also be written in the same location within the holographic material of the disk by varying the
20 point of focus of the beams used in their creation. This is accomplished in much the same way as described above, except the structure of the confocal-multiplexed embodiment of the present invention includes additional devices for changing the point of focus of the focused beams, and only needs a
25 generating device capable of producing one wavelength of light. It is preferred that only the versions of the invention which create the holographic gratings using a plane-wave beam be employed, and not the versions using two focused beams. Specifically, a device associated with the device for focusing
30 the second collimated beam is added to vary the point of focus of the resulting focused beam. This device changes the position of the focusing device in relation to the disk. The

detecting device of the confocal-multiplexed embodiments includes a light detector and second directing device for directing the light from the disk during the reading step towards the light detector, just as in previous versions.

- 5 However, there is also a second focusing device for focusing the directed light to create a focused directed beam. This focused directed beam is made up of reconstructions of focused beams used to create holographic gratings within the disk in an area illuminated by the first collimated beam, including
10 the point of focus of each of the focused beams.

The masking device in the confocal-multiplexed version of the invention is used to substantially block light beams reconstructed from holographic gratings created within the
15 disk in the area illuminated by the first collimated beam which have a point of focus not coinciding with the portion of the masking device which allows the reconstructed light beam to pass through to the light detector. Thus, both reconstruction beams from holographic gratings in adjacent
20 disk locations, and reconstruction beams from holographic gratings in the same disk location with different points of focus are blocked.

A device for changing the location of the second focusing
25 device is also included. This device is used to position the second focusing device in relation to the mask such that the light beam reconstructed from a holographic grating having a desired point of focus and created at one of the plural locations being read, has a point of focus coinciding with the
30 portion of the masking device which allows the reconstructed light beam to pass through to the light detector. Thus, only the reconstruction beams from holographic gratings written

with a focused beam having a point of focus corresponding to the desired data is read.

The method for storing data on an optical disk in accordance
5 with the present invention generally includes recording data elements by creating holographic gratings at selected ones of the plural disk locations. If the approach is used where a wavelength or point of focus is selected and data is written into and read from all the data location on the disk in
10 sequence, the recording step involves recording data elements at sets of the plural locations within the disk wherein each holographic grating recorded within a particular set of the plural locations via the beam of light generated by the electro-optical head has the same wavelength which is
15 different from the wavelength used to create holographic gratings within other of the plural sets of plural locations, or has a particular point of focus which is different from the point of focus used to create holographic gratings within other of the sets of plural locations. In the case of
20 wavelength-multiplexing, the following method for recording the data is used:

(a) recording data elements within a selected set of the plural locations, said recording comprising the steps of,
25 (al) placing the optical head adjacent to one of the plural location in the particular set of plural locations,
 (a2) generating a beam of substantially coherent light at a selected wavelength whenever it is desired to create a holographic grating at the one of the plural
30 locations within the disk, the selected wavelength of said beam of light being capable of being varied,
 (a3) collimating the beam of light whenever present,

(a4) producing a first and second collimated beam from the collimated beam of light,

(a5) directing the first collimated beam towards the disk,

5 (a6) routing and focusing the second collimated beam to create a focused beam, the focused beam being directed at the one of the plural locations within the disk,

10 (a7) moving the optical head to a position adjacent to a next one of the plural locations in the selected set of plural locations, and,

(a8) repeating steps (a2) through (a7) for each one of the plural locations in the selected set of plural locations;

15 (b) varying the selected wavelength of the beam of substantially coherent light; and,

(c) repeating steps (a) and (b) until a predetermined number of newly selected wavelengths have been employed.

20 However, in the case of confocal-multiplexing, another method is employed, i.e.:

(a) recording data elements within a selected set of the plural locations, said recording comprising the steps of,

(a1) placing the optical head adjacent to one of the plural location in the particular set of plural locations,

25 (a2) adjusting the position of a means for focusing such that a focused beam created by the focusing means has a selected point of focus,

(a3) generating a beam of substantially coherent light whenever it is desired to mate a holographic grating at the one of the plural locations within the disk,

30 (a4) collimating the beam of light whenever present,

(a5) producing a first and second collimated beam from the collimated beam of light,

(a6) directing the first collimated beam towards the disk,

5 (a7) routing the second collimated beam to the focusing means,

(a8) focusing the second collimated beam to create the focused beam, said focused beam being directed at the one of the plural locations within the disk,

10 (a9) moving the optical head to a position adjacent to a next one of the plural locations in the selected set of plural locations, and,

(a10) repeating steps (a3) through (a9) for each one of the plural locations in the selected set of plural

15 locations;

(b) varying the selected point of focus of the focused beam; and

(c) repeating steps (a) and (b) until a predetermined number of newly selected points of focus have been employed.

20 Alternately, if the approach used is one where multiple data bits are sequentially written into and read from each disk location before going to the next location, the recording step involves recording multiple data elements at each one of the 25 plural locations, wherein the beam of light used to create any holographic gratings has a different wavelength or point of focus for each such holographic grating. Specifically, the method for recording data at each data location using wavelength-multiplexing includes:

30 (a) generating a beam of substantially coherent light at a selected wavelength whenever it is desired to create a

holographic grating at the one of the plural locations within the disk, the selected wavelength of said beam of light being capable of being varied;

(b) collimating the beam of light whenever present;

5 (c) producing a first and second collimated beam from the collimated beam of light;

(d) directing the first collimated beam towards the disk;

10 (e) routing and focusing the second collimated beam to create a focused beam, the focused beam being directed at a one of the plural locations within the disk;

(f) varying the selected wavelength of the beam of substantially coherent light;

15 (g) generating a beam of substantially coherent light at a newly selected wavelength whenever it is desired to create another holographic grating at the one of the plural locations within the disk; and,

(h) repeating steps (b) through (g) until a predetermined number of newly selected wavelengths have been employed.

20

In the case of confocal-multiplexing, the following method is used:

25 (a) adjusting the position of a means for focusing such that a focused beam created by the focusing means has a selected point of focus,

(b) generating a beam of substantially coherent light whenever it is desired to create a holographic grating at a one of the plural locations within the disk,

(c) collimating the beam of light whenever present;

30 (d) producing a first and second collimated beam from the collimated beam of light;

(e) directing the first collimated beam towards the disk;

(f) routing and focusing the second collimated beam to create a focused beam, the focused beam being directed at a
5 one of the plural locations within the disk;

(g) varying the selected point of focus of the focused beam;

(h) repeating steps (b) through (g) until a predetermined number of newly selected points of focus have been employed.

10

The focused beam described above can be directed oppositely from the first collimated beam. In that case, the beams would be counterpropagating and a reconstruction beam produced from the grating would also be directed oppositely from the

15 collimated beam used during the reading process. Alternately, the focused beam could be directed in the same direction as the first collimated beam. In this latter case, the beams would be co-propagating and the reconstruction beam would be produced in the same direction as the collimated beam used
20 during the reading process.

Regardless of which data recording approach is used, additional method steps can also be taken. For example, the step of fixing holographic gratings created within the disk
25 can be included. After fixing, light impinging on the disk does not create new holographic gratings and does not affect holographic gratings already existing in the disk. Another additional step involves focusing the first collimated beam prior to reaching the disk to create a second focused beam
30 directed at a one of the plural locations within the disk. As this focusing step would complicate the confocal-multiplexed versions of the invention because of the need to coordinate

the two counterpropagating or co-propagating focused beams, it is preferred that it only be employed in the wavelength-multiplexed versions. In addition to these additional steps, there are some preferred ways to accomplish the existing

5 steps. For instance, the step of focusing the second collimated beam to create a focused beam can include causing the second collimated beam to come to a focus at a point outside the material in which a holographic grating can be created. In the case where both the first and second

10 collimated beams are focused, the second focused beam is caused to come to a focus at a point outside the material in which the holographic grating is created, and the first focused beam is caused to come to focus at the same point as the second focused beam. Additionally, the step of varying the

15 selected wavelength of the beam of substantially coherent light can include causing a tunable laser diode, which is capable of producing light at a plurality of different wavelengths, to change the wavelength of light emitted.

Alternately, this step can include selecting one of a

20 plurality of single-wavelength laser diodes, each capable of producing light at a wavelength different from the others, to emit the beam of coherent light.

The above-described method is also applicable to the

25 aforementioned embodiments incorporating the reflection device, and a routing device (e. g. fiber optic lines). In the case of the embodiment incorporating the reflection device, it will be remembered that this embodiment incorporated the concept of using counterpropagating focused beams to create

30 holographic gratings. Since, this focus beam concept is preferably used only in the wavelength multiplexed embodiments, the embodiment including the reflective device

also employs only wavelength multiplexing. Specifically, the method for recording data in embodiments of the invention employing the approach where a wavelength is selected and data bits are written into and read from all the data location on 5 the disk in sequence, is the same as described above except steps (a3) through (a6) are replaced with the following steps:

(a3) collimating the beam of light generated by the generating means whenever present to produce a first
10 collimated beam,

(a4) focusing the first collimated beam to create a first focused beam directed at one of the plural locations within the disk,

15 (a5) collimating the first focused beam subsequent to exiting the disk to produce a second collimated beam,

(a6) reflecting the second collimated beam back toward the disk,

20 (a7) focusing the second collimated beam to create a second focused beam directed at said one of the plural locations within the disk and oppositely from the first focused beam.

In the case where multiple-data bits are sequentially written into and read from each disk location before going to the next 25 location, the method is the same as described above except steps (b) through (e) are replaced with the following steps:

(b) collimating the beam of light generated by the generating means whenever present to produce a first
30 collimated beam;

(c) focusing the first collimated beam to create a first focused beam directed at one of the plural locations within the disk;

5 (d) collimating the first focused beam subsequent to exiting the disk to produce a second collimated beam;

(e) reflecting the second collimated beam back toward the disk;

10 (f) focusing the second collimated beam to create a second focused beam directed at said one of the plural locations within the disk and oppositely from the first focused beam.

Embodiments incorporating the routing device can use either the wavelength or confocal-multiplex approaches. The method of

15 recording data with the routing device embodiments is essentially the same as described above, with the exception that steps (a3) through (a7) of the wavelength-multiplexed embodiments, and (a4) through (a8) of the confocal-multiplexed embodiments, are replaced for those embodiments of the
20 invention employing the approach where a wavelength is selected and data elements are written into and read from all the data location on the disk in sequence. The replacement steps are as follows:

25 (a3 or a4) producing a first and second beam of light from the beam of substantially coherent light, whenever present,

(a4 or a5) routing the first beam of light to first collimating means for creating a first collimated beam,

30 (a5 or a6) directing the first collimated beam towards the disk,

(a6 or a7) routing the second beam of light to second collimating means for creating a second collimated beam,

(a7 or a8) focusing the second collimated beam
5 to create a focused beam, the focused beam being directed at the one of the plural locations within the disk.

And, in the embodiments using the approach where multiple data elements are sequentially written into and read from each disk

10 location before going to the next location, steps (b) through (e) of the wavelength-multiplexed embodiments and (c) through (f) of the confocal-multiplexed embodiments are replaced by the following:

15 (b or c) producing a first and second beam of light from the beam of substantially coherent light, whenever present;

(c or d) routing the first beam of light to first collimating means for creating a first collimated beam;

20 (d or e) directing the first collimated beam towards the disk;

(e or f) routing the second beam of light to second collimating means for creating a second collimated beam;

25 (f or g) focusing the second collimated beam to create a focused beam, the focused beam being directed at a one of the plural locations within the disk.

The method for storing data on an optical disk in accordance with the present invention also includes a reading step wherein data elements are read by detecting the presence or

30 absence of a holographic grating at the plural data locations within the disk. It is noted that this reading step can include producing a signal indicating a first binary state

whenever the presence of the holographic grating is detected and a second binary state whenever the absence of the holographic grating is detected. Alternately, each holographic grating could have a variable diffraction efficiency. In this case, the diffraction efficiency of each holographic grating would be varied during the creation in the aforementioned recording step. Then, during the reading step, a signal would be produced which is proportional to the diffraction efficiency of a detected holographic grating. This signal would be indicative of a value of the stored data element represented by the detected holographic grating. The absence of a holographic grating, which would produce no signal, would just be interpreted as another data value.

- If the approach is used where a wavelength or point of focus is selected and data elements are written into and read from all the data location on the disk in sequence, the reading of data elements at each location within a particular set of the plural locations is accomplished by detecting either the presence or the absence of a holographic grating. This is done via a beam of light generated by the electro-optical head, which has a wavelength corresponding to the wavelength employed to record the holographic gratings, or a point of focus corresponding to the point of focus used to record the gratings.

In the case of wavelength-multiplexing, the following method for reading data elements at each location in a set of the plural locations within the disk is used:

30

- (a) placing the optical head adjacent to one of the plural location in a selected set of plural locations,

- (b) generating a beam of substantially coherent light at a selected wavelength, the selected wavelength of said beam of light being capable of being varied;
- (c) collimating the beam of light;
- 5 (d) directing the collimated beam towards the disk;
- (e) detecting a reconstruction light beam emanating from a holographic grating created within a one of the plural locations within the;
- (f) moving the optical head to a position adjacent to a next one of the plural locations in the selected set of plural locations;
- 10 (g) repeating steps (b) through (f) for each one of the plural locations in the selected set of plural locations;
- (h) varying the selected wavelength of the beam of substantially coherent light such that a newly selected wavelength corresponds to a next one of a wavelength of light in a sequence of wavelengths employed to record each set of the plural locations within the disk;
- 15 (i) repeating steps (b) through (i) for each set of plural locations.

Further, the step of detecting light specifically includes:

- (a) directing light from the disk towards a light detector; and
- 25 (c) blocking light beams reconstructed from holographic gratings created in adjacent ones of the plural location and for allowing a light beam reconstructed from a holographic grating created in the one of the plural location to reach the light detector.

However, in the case of confocal-multiplexing, another method is employed, i.e.:

- (a) placing the optical head adjacent to one of the plural location. in a selected set of plural locations,
- 5 (b) adjusting the position of a means for focusing light from the disk such that light beams reconstructed from a holographic grating existing at the one of the plural locations in the selected set of the plural locations has a point of focus coinciding with a portion of a means for masking which allows the reflected light to pass through to a light detector;
- 10 (c) generating a beam of substantially coherent light;
- (d) collimating the beam of light;
- 15 (e) directing the collimated beam towards the disk;
- (f) detecting a reconstruction light beam emanating from a holographic grating created within the one of the plural locations within the disk in the selected set of the plural locations;
- 20 (g) moving the optical head to a position adjacent to a next one of the plural locations in the selected set of plural locations;
- (h) repeating steps (c)through (g) for each one of the plural locations in the selected set of plural locations;
- 25 (i) selecting a new set of plural locations corresponding to a next one of the sets in a sequence of sets employed to record the data; and
- (j) repeating steps (a)through (i) for each set of plural locations.

In this case the step of detecting light further includes:

- (a) directing light from the disk towards the light detector;
- 5 (b) focusing the directed light to create a focused directed beam, said focused directed beam comprising reconstructions of focused beams used to create holographic gratings within the disk in an area illuminated by the collimated beam including the point of focus of each of the focused beams; and,
- 10 (c) substantially blocking light beams reconstructed from holographic gratings created within the disk in the area illuminated by the first collimated beam which have a point of focus not coinciding with the portion of the masking means allowing light to pass through to the light detector.
- 15

Alternately, if the approach used is the one where multiple data bits are sequentially written into and read from each disk location before going to the next location, the reading step involves reading multiple data elements at each one of the plural locations by detecting either the presence of a holographic grating, or the absence of the holographic grating. This is done via a beam of light generated by the electro-optical head where the beam of light is sequentially varied in wavelength or point of focus to correspond to a wavelength of light and sequence of wavelengths or point of focus and sequence of points of focus employed to record each one of the multiple data elements recorded. Specifically, the method for reading multiple data elements at each one of the plural locations using wavelength-multiplexing is as follows:

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- (a) generating a beam of substantially coherent light at a selected wavelength, the selected wavelength of said beam of light being capable of being varied;
 - 5 (b) collimating the beam of light;
 - (c) directing the collimated beam towards the disk;
 - (d) detecting a reconstruction light beam emanating from a holographic grating created within the one of the plural locations within the disk;
 - (e) varying the selected wavelength of the beam of
 - 10 substantially coherent light such that a newly selected wavelength corresponds to a next one of a wavelength of light in a sequence of wavelengths employed to record each one of the multiple data elements recorded;
 - (f) generating a beam of substantially coherent light at
 - 15 the newly selected wavelength; and,
 - (g) repeating steps (b) through (f) until all the wavelengths in the sequence of wavelengths employed to record the multiple data elements has been selected.
- 20 Whereas, the method for reading multiple data elements at each one of the plural locations using confocal-multiplexing is as follows:
- (a) adjusting the position of a means for focusing light
 - 25 from the disk such that light beams reconstructed from a holographic grating existing at the one of the plural locations in the plural location has a point of focus coinciding with a portion of a means for masking which allows the reflected light to pass through to a light detector;
 - 30 (b) generating a beam of substantially coherent light;
 - (c) collimating the beam of light;
 - (d) directing the collimated beam towards the disk;

- (e) detecting a reconstruction light beam emanating from a holographic grating created within the one of the plural locations within the disk;
- 5 (f) varying the selected point of focus such that a newly selected point of focus corresponds to a next one of a point of focus in a sequence of points of focus employed to record each one of the multiple data elements recorded;
- 10 (g) repeating steps (b) through (f) until all the points of focus in the sequence of points of focus employed to record the multiple data elements has been selected.

The specifics of the steps of detecting light are the same as described above for the wavelength-multiplexing and confocal-
15 multiplexing embodiments, respectively.

Regardless of which data recording approach is used, additional method steps can be taken. In the embodiments where the first collimated beam was focused prior to reaching the
20 disk, the collimated beam used in the reading step is also focused prior to reaching the disk to create a focused beam directed at the one of the plural locations within the disk. The focused beam is focused at a same point as the beams used to create the holographic gratings during a recording step.

25 It is also possible to retain the reading capability of the above-described apparatuses and methods, while omitting those parts that are solely required for recording. In this way a read-only optical memory can be made available at a reduce
30 cost.

As for the aforementioned methods of transferring data to an optical disk, one such method involves transferring the data stored on an optical disk to another optical disk. This method includes placing a blank optical disk adjacent an encoded master optical disk. The blank optical disk is at least partially made of a material in which holographic gratings can be created at plural locations within the disk, but in which no holographic grating have yet been created. The encoded master optical disk is at least partially made of a similar material which has-holographic gratings created therein at selected ones of the plural data locations of the disk by an interaction of a focused beam and a plane-wave beam. The presence and absence of the holographic gratings at the plural locations within the disk represents stored data. The blank and master optical disks are then illuminated with a plane-wave beam. This illumination causes reconstruction beams to be produced from the holographic gratings in the master disk, which in combination with the plane-wave beam, create corresponding holographic gratings within the blank disk at locations corresponding to the presence of the holographic gratings of the master disk, and no holographic gratings at locations corresponding to the absence of the holographic gratings of the master disk. Thus, the stored data is transferred to the blank disk.

25

In one embodiment, the blank disk is placed over the top of the master disk, and the plane-wave beam is directed towards an externally facing top surface of the blank disk. The blank disk is transparent to the plane-wave beam. Therefore, the plane-wave beam illuminates the holographic gratings in the master disk, which produce reconstruction beams directed oppositely from the direction of the plane-wave beam. This

causes holographic gratings to be created in the blank disk by the interaction of the counterpropagating reconstruction beams and the plane-wave beam. However, in another embodiment, the master disk is placed over the top of the blank disk, and the 5 plane-wave beam is directed towards an externally facing top surface of the master disk. In this case, the master disk is transparent to the plane-wave beam, and the holographic gratings created in the master disk are created such that the reconstruction beams produced during the illuminating step are 10 directed in the same direction as the plane-wave beam used to illuminate the blank and master disks. This causes holographic gratings to be created in the blank disk by the interaction of the co-propagating reconstruction beams and the plane-wave beam.

15 It is also possible for the holographic gratings created in the master disk to have varying diffraction efficiencies, thereby producing reconstruction beams of proportionally varying intensities during the illumination step.

20 Additionally, the blank disk material is intensity-sensitive such that a holographic grating created in the blank disk during the illuminating step will vary in diffraction efficiency in proportion to the intensity of the reconstruction beam produced from the master disk. This

25 results in holographic gratings of the blank disk having the same relative diffraction efficiencies as holographic gratings of the master disk. Further, the blank disk material can be time-sensitive such that a holographic grating created in the blank disk during the illuminating step will vary in

30 diffraction efficiency in proportion to a duration of the application of the plane-wave beam. This allows the plane-wave beam to be applied during the illuminating step for a time

sufficient to create holographic gratings within the blank disk having a desired absolute diffraction efficiency.

An additional step of fixing the reflection gratings can be
5 included in the method. This involves fixing holographic
gratings created within the blank disk such that light
impinging on the disk does not create new holographic gratings
and does not affect holographic gratings already existing in
the disk. The illumination step can also be done in more than
10 one way. One preferred method is to illuminate the blank and
master disks with successive plane-wave beams, each plane-wave
beam having a different one of the wavelengths of light
employed to create holographic gratings in the master disk.
Another preferred method is to employ a plane-wave beam which
15 comprises at least one of the wavelengths of light employed to
create holographic gratings within the master disk. This
plane-wave could include all the wavelengths employed when the
master disk was recorded with data.

20 Another method of transferring data to an optical disk
involves first placing a blank optical disk adjacent a mask.
This mask has a plurality of light beam producing features
which when illuminated produce light beams directed toward the
blank disk. The light beam producing features are located so
25 as to be adjacent locations within the blank disk where
holographic gratings are desired to be created. The blank disk
and mask are then illuminated with a plane-wave beam, thereby
causing light beams to be produced from the light beam
producing features of the mask. These light beams, in
30 combination with the plane-wave beam, create holographic
gratings within the blank disk at locations adjacent the
features, and no holographic gratings at locations not

adjacent the features. The presence and absence of the holographic gratings within the blank disk represents the encoded data.

- 5 As with the previously described method, this method can also include the fixing of the holographic gratings. However, the preferred methods of illumination are different. One preferred method involves placing the blank disk adjacent a series of successive masks. Each successive mask is capable of creating
10 a separate set of data within the blank disk. The blank disk and each successive mask are illuminated with successive plane-wave beams, where each successive plane-wave beam has a different wavelength of light. Another method of illumination involves placing the blank disk adjacent a series of
15 successive masks, where each successive mask is capable of creating a separate set of data, just as before. However, this time the distance separating the blank disk from each successive mask is varied such that the distance is different for each of the masks employed. Then, the blank disk and each
20 successive mask are illuminated with a plane-wave beam. The first illumination method transfers wavelength-multiplexed data, whereas the latter method transfers confocal-multiplexed data.
- 25 In one embodiment of the just-described method the light beam producing features are reflective and the blank disk is transparent to the plane-wave beam. In this case, the blank disk is placed over the top of the mask with a top side of the blank disk down. The plane-wave beam is then directed towards
30 an externally facing bottom side of the blank disk such that the plane-wave propagates through the blank disk and illuminates the reflective light beam producing features of

the mask. This produces counterpropagating light beams which, in conjunction with the plane-wave beam, form holographic gratings in the blank disk. In another embodiment, the portions of the mask not comprising light beam producing features are at least partially transmissive to the plane-wave beam, and the light beam producing features whenever illuminated by the plane-wave beam produce light beams propagating in the same direction as the plane-wave beam. In this case, the blank disk is placed below the mask. The plane-wave beam is then directed towards an externally facing surface of the mask such that the plane-wave propagates through the mask and into the blank disk, while also illuminating the light beam producing features of the mask. This produces co-propagating light beams which, in conjunction with the plane-wave beam, form holographic gratings in the blank disk. In one version of this latter embodiment, the light beam producing features of the mask are areas of higher transmissibility than the areas of the mask not having these features. In another version, the light beam producing features of the mask are areas having a different index of refraction than the areas of the mask not having these features.

In another embodiment of the method of transferring data to an optical disk using a mask, each light-beam producing feature of the mask is capable of producing light beams having a predetermined intensity during the illumination step. The blank disk material is intensity-sensitive such that a holographic grating created in the blank disk during said illuminating step will vary in diffraction efficiency in proportion to the intensity of the light beam produced from the light beam producing feature of the mask. Further, it is

possible that the blank disk material is time-sensitive such that a holographic grating created in the blank disk during the illuminating step will vary in diffraction efficiency in proportion to a duration of the application of the plane-wave beam. Accordingly, the plane-wave beam can be applied for a time sufficient to create holographic gratings within the blank disk having a desired diffraction efficiency.

As can be seen the stated objectives of the invention have been accomplished by the above-described embodiments of the present invention. The storage capacity of an optical memory in accordance with the present invention can exceed that of existing, commercially available systems, such as a CD-ROM. In addition, some embodiments of the invention can employ a conventional CDROM drive, thereby taking advantage of the mature technologies associated with these devices. These embodiments would also allow a conventional mirror-based CD-ROM disk to be read. This follows since the transmitted light beam would, at whatever wavelength employed, simply reflect off the lands and pits of a conventional disk. The reflected light would be detected by the detector. Further, some embodiments of the present invention also increase the rate at which data can be read. This is done by reading multiple data bits at each data location in the disk in the same time it took to read the single bit existing at the data location of a conventional CD-ROM system.

In addition to the just described benefits, other objectives and advantages of the present invention will become apparent from the detailed description which follows hereinafter when taken in conjunction with the drawing figures which accompany it.

DESCRIPTION OF THE DRAWINGS

- 5 The specific features, aspects, and advantages of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:
- 10 FIG. 1 is an illustration of an apparatus for storing and reading data according to the present invention employing wavelength-multiplexed holographic-grating techniques to store and/or read data on an optical disk, and having a series of mirrors for routing a light beam to the opposite side of the
- 15 disk.
- FIG. 2 is an illustration of the apparatus of FIG. 1, except the series of mirror has been replaced with a single mirror disposed below the opposite side of the disk.
- 20 FIG. 3 is a cross-sectional illustration of two adjacent holographic-grating elements in a portion of a volume holographic disk showing an overlapping of holographic gratings.
- 25 FIG. 4 is an illustration of the apparatus of FIGS. 1 or 2, further incorporating a mask for blocking unwanted reconstruction beams from holographic gratings in adjacent data locations in the disk.

FIG. 5 is a close-up view of the mask of FIG. 4 showing that most, but not all, the light beams reconstructed from holographic gratings in adjacent data location is blocked.

5 FIG. 6A is an illustration of the apparatus of FIG. 4, wherein the tunable laser diode has been replaced with multiple single-wavelength laser diodes connected to fiber optic lines used to route the light therefrom to a first collimating lens.

10

FIG. 6B is an illustration of the apparatus of FIG. 6A, wherein the set of mirrors or single mirror used to route the light from the laser diodes to the opposite side of the disk is replaced with a fiber optic line and collimating lens(es).

15

FIG. 7 is a cross-sectional illustration of two adjacent holographic-grating elements in a portion of a volume holographic disk and the counterpropagating focused beams used to create them.

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FIG. 8A is an illustration of the apparatus of FIG. 1, incorporating the mask of FIG. 4, wherein the focusing lens(es) used to focus the collimated light beam directed at the top surface of the disk is eliminated, thereby producing a plane-wave beam.

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30
FIG. 8B is an illustration of the apparatus of FIG. 8A, wherein the tunable laser diode has been replaced with multiple single-wavelength laser diodes connected to fiber optic lines used to route the light therefrom to a first collimating lens.

FIG. 8C is an illustration of the apparatus of FIG. 8B, wherein the set of mirrors used to route light from the laser diodes to the opposite side of the disk is replaced with a fiber optic line and collimating lens(es).

5

FIG. 9 is a close-up view of the portions of FIGS. 8A-C involved in the reading of an encoded disk and showing that light beams reconstructed from a holographic grating in a data location being read is allowed through the mask to the light 10 detector, whereas light beams reconstructed from holographic gratings in adjacent data locations is blocked.

15 FIG. 10 is an illustration of the apparatus of FIG. 8C, wherein the beam splitter has been replaced with an angled mirror.

20 FIG. 11 illustrates A reconstruction beams produced from an optical disk encoded in accordance with the present invention when illuminated with a plane-wave beam.

25 FIG. 11 B illustrates the method of replicating data stored on an optical disk produced in accordance with the wavelength-multiplexed approach of the present invention into a blank optical disk.

FIG. 12 illustrates the method of replicating data encoded on masks into a blank optical disk using the wavelength-multiplexed approach.

30

FIG. 13A is an illustration of an apparatus for storing and reading data according to the present invention employing

confocal-multiplexed holographic-grating techniques to store and/or read data on an optical disk, and having a series of mirrors for routing a light beam to the opposite side of the disk.

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FIG. 13B is an illustration of the apparatus of FIG. 13A, wherein the set of mirrors used to route the light from the laser diodes to the opposite side of the disk is replaced with a fiber optic line and collimating lens(es).

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FIG. 13C is an illustration of the apparatus of FIG. 13B, wherein the beam splitter has been replaced with an angled mirror.

15

FIG. 14 is an illustration of the method by which holographic gratings are written into an optical disk with the apparatuses of FIGS. 8A-D.

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FIG. 15 is a close-up view of the portions of FIGS 13A-D involved in the reading of an encoded disk and showing that light beams reconstructed from a holographic grating having a point of focus which comes to focus at the opening of the mask is allowed through to the light detector, whereas light beams reconstructed from holographic gratings having different points of focus are blocked.

25

FIG. 16 illustrates the method of replicating data stored on an optical disk produced in accordance with the confocal-multiplexed approach of the present invention into a blank optical disk.

FIG. 17 illustrates the method of replicating data encoded on masks into a blank optical disk using the confocal-multiplexed approach.

5 FIG. 18A is an illustration of an apparatus for storing and reading data according to the present invention employing wavelength-multiplexed holographic-grating techniques to store and/or read data on an optical disk, wherein the focused beam and plane-wave beam used to create holographic gratings in the
10 disk are co-propagating.

FIG. 18B is an illustration of an apparatus for storing and reading data according to the present invention employing confocal-multiplexed holographic-grating techniques to store and/or read data on an optical disk, wherein the focused beam and plane-wave beam used to create holographic gratings in the
15 disk are co-propagating.

FIG. 19A illustrates the method of replicating data stored on an optical disk produced in accordance with the present invention into a blank optical disk wherein the plane-wave beams and reconstruction beams are co-propagating.
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FIG. 19B illustrates the method of replicating data encoded on masks into a blank optical disk wherein light beam producing features of the mask produce light beam which are co-propagating with the plane-wave beam.
25

30 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Preferred embodiments of the present invention will now be described with reference to the drawings.

Fig. 1 depicts one embodiment of a holographic-grating based optical memory in accordance with the present invention. A volume holographic disk 100 having at least a portion thereof made of a suitable volume holographic material is employed as the data storage medium. Although this material preferably has a one-piece continuous structure, it is convenient for descriptive purposes to envision it as being made up of many separate holographic-grating elements 102. Each holographic-grating element 102 is preferably just slightly larger in size than the spot of a focused beam to be described in more detail later in this disclosure.

Suitable volume holographic materials include photorefractive crystals such as LiNbO₃ and BaTiO₃, dichromate gelatin (DCG), and DuPont's photopolymer. However, since photorefractive crystals are normally difficult to grow in large size, DCG and DuPont's photopolymer are preferred candidates because these two materials are readily available in sizes large enough to function as the storage medium in the aforementioned volume holographic disk 100. However, it is not intended that the present invention be limited to these materials. It is anticipated that the success of systems employing volume holographic disks will become a significant driving force for inventing more and better synthetic volume holographic materials. As these new materials become available, it is envisioned that they could be used in place of the aforementioned candidate materials.

In writing data onto the disk 100, a holographic grating is created at the location of one of the holographic-grating elements 102 to represent a first of the two binary states.

- 5 Conversely, if the second binary state is to be represented, no holographic grating is created. To create a holographic grating, light is emitted from a tunable laser light source 104, such as an injection-current wavelength-tunable laser diode. The light is emitted at a particular wavelength and is
10 collimated by a lens 106 (or a set of lenses, if desired). Then, this collimated beam 108 is split into two beams by a beam splitter 110. Thus, a reflected collimated beam 112 and a transmitted collimated beam 114 are created. The reflected collimated beam 112 is directed by a set of mirrors 116, and
15 then focused into the holographic-grating element 102 by a lens 118 (or set of lenses). The transmitted collimated beam 114 is also focused onto the same spot within the holographic-grating element 102 by a lens 120 (or set of lenses). These two counterpropagating beams 112, 114 will create a
20 holographic grating in the holographic-grating element 102. The process of creating or refraining from creating holographic gratings is then repeated for each holographic-grating element 102 in the disk 100.
- 25 An alternate version of the embodiment depicted in Fig. 1 is shown in Fig. 2. In this alternate version, the set of mirrors used to direct the reflected collimated beam 112 to the underside of the disk 100 has been eliminated. In its place a mirror 128 has been placed on the side of the lens(es) 118
30 opposite the underside of the disk 100. The transmitted collimated beam 114 emerges from the underside of the disk 100 after coming to a focus inside the holographicgrating element

102. The beam 114 then is collimated by the lens(es) 118 and impinges on the mirror 128. The reflection of the transmitted collimated beam 114 from the mirror becomes the reflected collimated beam 112'. This reflected beam 112' is then focused 5 by the lens(es) 118 to the same spot within the holographic-grating element 102 at which the transmitted beam 118 is focused. Thus, the two counterpropagating beams 112', 114 will create a holographic grating in the holographic-grating element 102, just as in the original version. The mirror 128 10 can be a simple reflection mirror, or a phase conjugate mirror. A simple reflection mirror has the advantage of being low cost, but requires careful alignment to achieve a maximum overlap of the reflected beam 112' with the transmitted beam 114 within the holographic-grating element 102. On the other 15 hand, a phase conjugate mirror automatically aligns the reflected beam 112' with the transmitted beam for maximum overlap. However, at a higher cost.

After the whole disk 100 has been recorded with data, a fixing 20 process is employed to permanently store any holographic grating produced in the individual holographic grating elements 102. This prevents disruption of the holographic gratings during the reading process that will be described later. The fixing process varies depending on the type of 25 volume holographic material used. However, as an example, DuPont's photopolymer material uses ultra-violet (UV) light to fix the holographic gratings. Although the disk could be illuminated with UV light once removed from the system, it is preferable that the fixing be accomplished in-situ. This 30 requires the addition of a UV light source (not shown) which is capable of illuminating the disk while installed in the optical disk drive.

Once the desired data has been "written" into the holographic-grating element 102, it can be read by focusing the transmitted collimated beam 114 onto the spot in the disk 100 corresponding to that element 102. This is accomplished by emitting light from the tunable laser diode 104 at the same wavelength mentioned above in connection with the writing step. The emitted light is then collimated by the lens 106, and subsequently passed through the beam splitter 110. Lens 120 is used to focus the beam 114 onto the spot in the holographic-grating element 102 where the binary bit was written. If a holographic grating had been created in the holographic-grating element 102, a beam corresponding to the original reflected beam 112 will be reconstructed and directed back through the lens 120 and into the beam splitter 110. This reconstructed beam 122 is then diverted by the beam splitter 110 toward a detector 124. The detector 124 detects the reconstructed beam 122 impinging upon it and generates an electrical signal indicating the first binary state was "read". If a holographic grating was not created in the holographic-grating element, nothing will be reconstructed upon application of the transmitted beam 114. The detector 124 will, therefore, not generate any signal and this will be "read" as the second binary state. A shutter 129 can also be included in the embodiments of the invention shown in Figs. 1 and 2. This shutter 129 is interposed in the path of the reflected collimated beam 112, 112'. The shutter 129 allows the beam 112, 112' to pass during write operations, and blocks it during read operations. Blocking the reflected collimated beam 112, 112' during read operation prevents it from reaching the detector 124 and causing noise.

As can be seen, the focused reflected collimated beam 112, 112' is not needed for the reading step. Thus, a read-only system in accordance with the preferred embodiment of the present invention need only have an optical head 126
5 comprising the optical components described above in connection with the read operation (and shown within the broken-line box in Figs. 1 and 2).

It is not intended that the present invention be limited to
10 employing a conventional CD-ROM drive and the same number of data storage locations as a conventional CD. The speed at which the holographic disk 100 rotates, and the number of holographic-grating elements 102 per disk, can be selected to accommodate the users particular needs. However, it is
15 convenient to compare embodiments of the invention which do employ these conventional aspects with existing CD-ROM systems, in order to show the improved storage capability and throughput rates afforded by the invention.

For the most part the drive mechanism and electronics of a
20 conventional CD-ROM can be employed in the device embodying the present invention. The optical head of the conventional CD-ROM would be replaced with an optical head in accordance with the present invention, and a volume holographic disk 100 would be used. The storage capacity of this hybrid system
25 exceeds a conventional CD-ROM because the storage density of the holographic disk 100 can be greatly expanded beyond that of a conventional CD. One way of accomplishing this is the use of a wavelength multiplexing technique to store multiple gratings in the same holographic-grating element 102 of the
30 holographic disk 100. Owing to the Bragg diffraction principle, these gratings are wavelength sensitive. Thus, a holographic grating created in a holographic-grating element

102 at one wavelength (or the absence thereof) can be "readout" using the beam of the same wavelength, independent of holographic gratings created at different wavelengths.

- 5 The process used to create multiple data bits in a single holographic-grating element 102 is identical to that described above, except that it is repeated several times at different wavelengths. This can be accomplished in two ways. In the
10 first method, once a holographic grating has been created (or not), in a holographic-grating element 102 at a first wavelength, the next data bit is similarly "written" into the same element 102 at a second wavelength, and so on. Many different wavelengths can be scanned through before the optical head 126 moves to the next holographic-grating element
15 position. Therefore, a corresponding number of data bits can be recorded in a single holographic-grating element 102. The recorded data is "readout" in similar fashion, with the tunable laser diode 104 being made to scan through the same sequence of wavelengths, in the same order, at a holographic
20 grating element position. Thus, the recorded sequence of binary bits is detected by the detector 124.

As a result, a system in accordance with the present invention employing a conventional CD-ROM drive and the aforementioned
25 first method of storing data, will be able to record N times more data on a single disk, where N is the number of wavelengths scanned at each holographic-grating element position. The N increase in data storage results from being able to record as many data bits a particular location on the
30 disk 100 as there are wavelengths employed.

The second method of creating multiple data bits in a holographic-grating element 102 using a wavelength multiplexing approach is to record data over the entire disk 100 at one wavelength, and then repeat the process for each 5 succeeding wavelength. The data is then read by scanning the entire disk 100 at each of the wavelengths in the sequence used to record the data. This second approach also allows an N increase the amount of data stored on each disk 100. However, in the second approach, it is immaterial whether each 10 wavelength pass writes data at specific locations in the disk 100. Rather, data written in the disk 100 at one wavelength can occupy one set of! disk locations, while data written at a different wavelength can occupy a completely different sets of locations.

15 Crosstalk can come from two sources. First, some amount of crosstalk noise can be caused by unwanted reconstructions from holographic gratings in a holographic grating element 102 created at a different wavelength. It is this type of 20 crosstalk which is reduced by, the aforementioned even distribution of holographic gratings in the disk 100 associated with the second data recording method. However, regardless whether the first or second data recording method is used, the wavelength characteristics of the emitted beam 25 and the thickness of the holographic material in the disk 100 can be chosen to minimize this source of crosstalk, while still allowing the recording of the desired number of data bits at each holographic-grating element. These noise reduction techniques will be discussed in detail later in the 30 specification.

A second potential source of crosstalk noise can be caused by holographic gratings existing in holographic grating elements adjacent to the one being scanned. For example, assume a disk thickness of 2 mm, a spacing between holographic-grating

5 elements approximately equal to that used between the pits and lands in a standard CD-ROM systems, and reflected and transmitted collimated beams 112, 114 focused to the conventional 1 μm diameter spot during the "writing" of a holographic grating in the disk 100. As shown in Fig. 3, the

10 depth at which the counterpropagating beams focus will be such that a holographic grating 200' existing in an adjacent holographic-grating element 202' may partially overlap a holographic grating 200 resident in the element 202 being scanned, in the region outside the dashed lines 208. The

15 overlapping regions are sources for crosstalk noise because they will produce unwanted reconstructions during readout. For example, when no holographic grating has been written in element 202, partial reconstruction from a holographic grating 200' in the adjacent element 202' may create a false signal when

20 element 202 is read. However, as illustrated in Fig. 3, the grating lines 204, 204' take on a curved shape in the material of the disk 206 bounded by the exterior shape of the counter-propagating beams that created them. Because of the curved nature of the grating lines 204, 204', it can be seen that a

25 beam of light focused into the holographic grating element 200 will have a central axis bisecting at the apex of the curved grating lines 204 associated with that element 202. Whereas, the central axis of the beam merely grazes the outer regions of some of the grating lines 204' of the adjacent element 202'.

30 Since the "read" beam is not centered in respect to the gratings lines 204' in the adjacent element 202', the energy is considerably less than that coming from the centered grating

lines 204 of the element 202 being scanned. Therefore, even though some crosstalk noise is generated due to the low energy reconstructed beams from these adjacent grating lines 204', most likely the noise will be insignificant given the 5 aforementioned standard spacing used in current CD-ROM systems. It is believed the crosstalk will not exceed acceptable levels.

Even though it is believed that the crosstalk noise caused by 10 an adjacent holographic grating will be at acceptable levels, it can be reduced by modifying the structure of the embodiments shown in Figs. 1 and 2. As shown in Fig. 4, a mask 130 and lens 132 (or set of lens if desired) are placed between the beam splitter 110 and the detector 124. During the 15 reading process, the reconstruction beam 122 exits the beam splitter 110 and passes through the lens(es) 132. The lens(es) 132 focuses the beam 122 towards the sensing surface of the detector 124. As can be seen, reconstruction beams from holographic gratings of adjacent holographic-grating elements 20 102a, 102b will focus at different spots, than the reconstruction beam from the holographic grating element 102 actually being scanned. The lens(es) 132 is aligned such that any reconstruction beam from the holographic-grating element 102 is focused along the optical axis of the lens(es) 132. The 25 mask 130 is positioned in front of the detector 124 with an opening 138 coinciding with the optical axis of the lens(es) 132. The opening 138 is sized so that the mask 130 allows the focused light reconstructed from the holographic-grating element 102 to reach the detector (or no light in the case 30 where a holographic grating has not been written in the element 102). Whereas, light reconstructed from the holographic gratings of adjacent holographic-grating elements

102a, 102b is focused on the solid portions of the mask 130 and blocked. In this way the aforementioned crosstalk noise caused by adjacent holographic-grating elements is mostly eliminated.

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It is noted that Fig. 4 shows the set of mirrors 116 (of Fig. 1) in dashed lines and mirror 128 (of Fig. 2) in solid lines. This intended to denote that either configuration can be employed in the modification.

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It is also noted that the just-described noise reduction method does not completely eliminate the crosstalk because the reconstructed light beams from adjacent holographic-grating elements 102a, 102b is not a true reconstruction of the beam 112, due to their physical offset from the readout beam 114. These distorted reconstruction beams of adjacent holographic gratings tend to focus to a large spot on the mask 130, as shown in Fig. 5. In cases where the focused spot from adjacent elements 102a, 102b is large enough to extend over the opening 138, light therefrom will impinge on the detector 124, thereby causing a small amount of crosstalk noise. As will be described later in the specification, an alternate preferred embodiment of the present invention eliminates this phenomenon, thus eliminating this type of crosstalk.

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The maximum number of wavelengths N that can be employed is determined by several factors. Among these are the center wavelength and tunable range of the laser diode 104, the minimum wavelength separation between two laser diode 30 wavelengths, the thickness and useful wavelength sensitivity of the volume holographic material employed in the disk 100 and the amount of crosstalk between holographic gratings

produced by different wavelengths in the same holographic-grating element 102 which is acceptable to the user. In the 180 degree geometry associated with some embodiments of the present invention, the minimum wavelength separation $\Delta\lambda$ which would result in an absence of crosstalk between holographic gratings in the same holographic-grating element 102 is given by:

$$\Delta\lambda = \lambda^2/2d \quad (1)$$

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where λ is the center wavelength of the laser diode 104, and d is the thickness of the holographic material in the disk 100.

As an example, assume N is desired to be equal to about 10 and 15 a holographic material with the appropriate wavelength sensitivity is used in the disk 100. If an optical memory with a tunable laser diode 104 exhibiting a center wavelength of about 0.8 μm (i.e., similar to existing CD-ROM systems), a wavelength range of 2 nm and a resolution of 0.1 nm, is 20 employed, equation (1) yields a disk thickness of 3.2 mm. This disk thickness could be even thinner if some amount of the aforementioned crosstalk noise is acceptable.

Although the above-described configuration would work well, it 25 is desirable from a cost standpoint to reduce the thickness of the holographic material without increasing the crosstalk noise. Generally, the thinner the holographic material, the less expensive the disk is to manufacture. In addition, if it is desired to employ existing optical drives, such as those 30 used in CD-ROM systems, an overall disk thickness of about 1 mm would be appropriate, as that is the approximate thickness of conventional optical disks. A disk having a thin layer of

holographic material embedded in a 1 mm thick superstructure made of a transparent plastic would achieve both the aforementioned goals.

- 5 As can be derived from Equation (1) a decrease in the thickness of the holographic material d will result in an increase in the minimum wavelength separation $\Delta\lambda$. For example, assume a 50 μm thick layer of holographic material having the appropriate wavelength sensitivity and a laser diode 104 with
10 a center frequency of 0.8 μm are employed. In accordance with Equation (1), the minimum wavelength separation $\Delta\lambda$ which would not produce crosstalk noise is 6.4 nm. Similarly, a 20 μm thick layer of holographic material would increase the noise-free minimum wavelength separation $\Delta\lambda$ to 16 nm. As one skilled
15 in the art will recognize, these minimum wavelength separations λ are beyond the capabilities of existing tunable laser diodes. A shorter center wavelength could be employed which would also decrease the minimum wavelength separation $\Delta\lambda$, as evident from Equation (1). However, given center
20 wavelengths available in current laser diodes, this decrease would not be enough to allow both the use of a tunable laser diode and the aforementioned disk configuration. For example, assume a center frequency λ of 0.65 μm . A 50 μm thick layer of holographic material would require a minimum wavelength
25 separation $\Delta\lambda$ of 4.2 nm, and a 20 μm thick layer would require a minimum wavelength separation $\Delta\lambda$ of 10.6 nm. As can be seen, these minimum wavelength separations $\Delta\lambda$ are still outside the capabilities of existing tunable laser diodes. Therefore, to achieve the aforementioned disk configuration, the embodiments
30 of the inventions depicted in Figs 1 and 2 will have to be modified.

A way of modifying the embodiments of Figs. 1 and 2 to eliminate the need for a tunable laser source is to replace it with a series of single-wavelength laser diodes which together

5 exhibit the desired center wavelength λ and minimum wavelength separation $\Delta\lambda$. In other words, these laser diodes would be chosen such that their wavelengths are separated by the desired minimum wavelength separation $\Delta\lambda$, and the laser diode which corresponds to the median wavelength among the series

10 produces the desired center wavelength λ . Given that 10 or more different wavelengths might be used, an alternate embodiment of the present invention with the aforementioned series of laser diodes would benefit from an implementation having fiber optic lines to transmit the light from these

15 laser diodes to the disk. Embodiments having fiber optic connections are shown in Figs. 6A-B. Fig. 6A depicts the simplest modification of the systems of Figs. 1 and 2. The modifications entails replacing the tunable laser diode with the aforementioned discrete laser diodes 142. The laser diodes

20 142 are connected by optic fibers 144 to the inputs of a coupler 146. The single output of the coupler 146 is connected to one end of a similar optic fiber 148 whose other end is aligned with the optical axis of lens(es) 106 via a fiber holder 150. It is noted that Fig. 6a shows the set of mirrors

25 116 (of Fig. 1) in dashed lines and mirror 128 (of Fig. 2) in solid lines. This intended to denote that either configuration can be employed in the modification.

Fig. 6B shows another possible modification to the embodiment

30 of Fig. 1. In this modification the laser diodes 142 are connected by the optic fibers 144 to the inputs of a fiber coupler/splitter 146'. The coupler/splitter 146' divides the

- input from a laser diodes 142 between two separate output fibers 148, 152. The first fiber 148 is connected to a fiber holder 150 which is aligned with the optical axis of lens(es) 106, as in Fig. 6A. However, in this modification, the set of 5 mirrors 116 (of Fig. 1) or mirror 128 (of Fig. 2) are replaced with the second fiber 152, a fiber holder 154 and a collimating lens 156 (or set of lenses if desired). The second fiber 152 is connected to the fiber holder 154 and transfers the light from the coupler/splitter 146' to the holder 154.
- 10 The holder 154 aligns the end of the fiber 152 with the optical axis of lens(es) 156. The light transferred by the fiber 152 is collimated by lens(es) 156. Thereafter it is focused by lens(es) 118 and the writing process proceeds as described in connection with Fig. 1. During read operations,
- 15 fiber coupler/splitter 146' transfers light only to output fiber 148, and not fiber 152. This precludes any possibility that light beam 112 would reach the detector 124 and cause noise.
- 20 It is noted that although the above-described embodiments of the present invention having fiber optic lines were driven by the use of a plurality of discrete laser diodes, similar fiber optic connections could be employed in the embodiments of the invention having a single tunable laser diode. In that case,
- 25 the tunable laser diode would be connected via a single optic fiber directly into the fiber holder of Fig. 6A, or into a fiber splitter in the embodiment of 6B.

All the embodiments of the present invention discussed so far 30 entail focusing the counterpropagating transmitted and reflected beams 112, 114 onto a spot inside the holographic material of the disk 100. This produced a holographic grating

200, 200' such as illustrated in Fig. 3. However, the beams
112, 114 could also be focus to a spot outside the holographic
medium, such as shown in Fig. 7. Fig. 7 depicts two adjacent
5 holographic-grating elements 202, 202' both having holographic
gratings 200, 200' written into them. The counterpropagating
beams 112, 114 used to produce the holographic gratings 200,
200' were focused to a spot above the holographic material of
the disk 100. This produces a cone-shaped holographic grating,
rather than the hour-glass shaped holographic grating of Fig.
10 3. The cone-shaped holographic gratings have advantages in
some applications. The point at which the beams come to a
focus has a relatively high intensity. In the hour-glass
shaped grating approach, it is possible depending on the type
of holographic recording medium employed, that this high
15 intensity focused spot within the holographic material could
create a complex grating region. Such a complex grating region
could give rise to excessive crosstalk. However, it can also
be seen by comparing Fig. 3 to Fig. 7, that the region of
overlap between adjacent holographic gratings 200, 200' is
20 larger in the case of cone-shaped holographic gratings, than
in the case of hourglass-shaped holographic gratings.
Therefore, the potential for crosstalk noise is also increased
with a coneshaped holographic grating. This is of some concern
in the embodiments of Figs. 1 and 2. However, with the
25 addition of the mask 130 of Fig. 4, this concern is
alleviated.

The counterpropagating transmitted and reflected beams 112,
114 described above are both focused on a spot (either within
30 the holographic material or outside it). In the embodiments
according to the present invention to be discussed next, a

plane wave is used as one of the beams, instead of a focused beam.

Figs. 8A-C show the embodiments of Fig. 1 (incorporating the
5 mask of Fig. 4) and Figs. 6A-B, respectively, modified for the
plane-wave approach. It can be seen in Figs. 8A-C, that the
plane-wave embodiments of the present invention are
essentially the same as the aforementioned previous
embodiments with the exception that lens(es) 120 has been
10 eliminated. The elimination of this lens(es) results in the
collimated transmitted beam 114 striking the upper surface of
the disk 100 without being focused. This unfocused transmitted
beam 114 is the aforementioned plane-wave beam. It is noted
that the plane-wave beam actually passes through the disk.
15 However, for clarity, the beam is shown as being truncated at
the disk in Figs 8A-C, and all subsequent figures. The
counterpropagating focused beam 112 and the plane-wave beam
114 produce a holographic grating in the holographic-grating
elements 102 during the writing process, just as described
20 with the focused beam embodiments. As shown in Fig. 9, when a
holographic grating is read, a reconstruction of the focused
beam 112, i.e., beam 122, is produced from the holographic-
grating element towards the beam splitter 110. Fig. 9 also
shows that adjacent holographic gratings would also produce
25 reconstruction beams 134,136. These other reconstruction beams
134, 136, along with reconstruction beam 122 are diverted by
the beam splitter 110, though the focusing lens(es) 132,
towards the mask 130 and detector 124. The reconstruction beam
122 is focused so as to pass through the opening 138 in the
30 mask 130 and onto the sensing surface of the detector 124.
Whereas, the other reconstruction beams 134, 136 are blocked
by the mask 130. Thus, only the holographic grating (or

absence thereof) in the holographic-element 102 being read is sensed. It is also noted that the plane-wave beam 114, unlike the focused beam of previous embodiments, produces true reconstructions of beams from the adjacent holographic

5 gratings. Therefore, the previously described distortion which tended to produce a large spot on the mask 130 does not exist in the plane-wave beam embodiments of the invention, except for those grating elements at the edge of the beam which are only partially reconstructed. However, as these partial
10 reconstructions are significantly distant from element 102, they will be completely blocked by the mask 130. Accordingly, crosstalk noise from adjacent holographic gratings during readout is essentially eliminated.

15 In addition to the advantage of eliminating the crosstalk noise from adjacent holographic gratings, the use of a plane-wave beam has other advantages, as well. One of these advantages is simplification of the readout process. In the embodiments of Figs. 1, 2, 6A and 6B, the alignment of the
20 lens(es) 120 with a holographic-grating element 102 to center the focused readout beam 114 with any holographic grating written into the element 102, is critical to ensure its detection. However, the "spot" of the plane-wave beam 114 (of Figs. 8A-D) is much larger than the top surface of a single
25 holographic-grating element. Thus, alignment of the beam with a particular element 102 is less critical. The centerline of the beam 114 must just be closer to the holographic-grating element 102 being read, than any adjacent elements. In addition, the use of a plane-wave beam approach facilitates
30 replication of the disk 100. This advantage will be discussed in detail later in the description.

Another advantage of the plane-wave approach is that the relatively expensive beam splitter 110 of previous embodiments can be replaced with a simple mirror. Fig. 10 shows an embodiment of the present invention which employs such a

5 mirror 160. In this embodiment, which is essentially a modification of the embodiment of Fig. 6B, the fiber holder 150 is attached to the back of the mirror 160. The first fiber 148 is inserted through the mirror, such that the end of the fiber 148 is aligned with the optical axis of lens(es) 106.

10 Lens(es) 106 has been moved to a position below the mirror 160, as shown. The mirror 160 is placed at an angle such that light from the disk 100 is deflected toward the mask 130 and detector 124. Thus, the light from the first fiber 148 is collimated by lens(es) 106 to produce a plane-wave beam 114,

15 and impinges on holographic-grating element 102. During writing operations, the plane-wave beam 114 is used in conjunction with the focused beam 112 from the second fiber 152 to create holographic gratings in the holographic material of the disk 100. During reading operations, the plane-wave

20 beam 114 is used to produce a light beam from a holographic grating representing a reconstruction of the beam 112, i.e., beam 122. This reconstruction beam 122 is deflected by the mirror 160 towards the mask 130 and detector 124, as mentioned above. It is noted that the end of the first fiber 148 will

25 not interfere with beam 122 when it is deflected by the mirror 160 because it is not at the image plane of the beam.

There is a tradeoff, however, connected with the use of the plane-wave approach. As alluded to above, the size of the

30 plane-wave beam (i.e., its "spot") is much larger than a holographic-grating element. This is necessary to generate a beam with the desired plane-wave characteristics. The result

of this large beam size is that a significant amount of the laser power is wasted. Instead of concentrating the power necessary to write and read holographic gratings in an element by focusing it, the power is spread out over the diameter of 5 the beam. This requires that the overall power of the plane-wave beam exceed that which is necessary when a focused beam is employed. Accordingly, the plane-wave approach requires a more powerful laser beam. However, it is believed that the plane-wave approach can be implemented with a laser power of 10 approximately 30 mW. Laser diodes are commercially available which can provide this level of power. The 30 mW of power is required to provide a sufficiently large detection signal from commercially available light detectors.

15 It was mentioned above that one of the advantages of the plane-wave beam approach was that it facilitated replication of the disk. The ability to replicate disks gives the present invention a big advantage in applications that require high volume publishing of the same disk. This replication of a disk 20 hinges on the fact that the data (*i.e.*, a reconstruction beam or the lack thereof from a holographic-grating element) is read by illuminating the disk with a plane-wave beam. In the above-described embodiment, the size of the plane-wave beam was such that it covered a few elements at a time. However, if 25 a plane-wave beam having a beam width as large as the disk itself were used to illuminate the disk, the result being that reconstruction beams would be produced from all the holographic grating in the disk at the same time. That is all those holographic gratings written with the same 30 wavelength(s) of light as the plane-wave beam.

Fig. 11 A-B illustrate how a replicant disk 162 can be produced using a master disk 164 encoded by the planewave approach described above. Fig. 11A is a simplified illustration of reconstruction beams being produced by the 5 master disk 164 when it is illuminated by a plane-wave beam 114. These reconstruction beams are used to create holographic gratings in the replicant disk 162. As shown in Fig. 11 B, the replicant (or blank) disk 162, which is transparent to the wavelengths of light associated with the plane-wave 114, is 10 placed over the top of the master disk 164. It is meant by the term transparent that sufficient light energy passes through the blank disk to the master disk so as to produce reconstructions of the focused beam used to create the holographic gratings in the master disk. Thus, when the 15 replicant and master disks 164, 162 are illuminated with the plane-wave beam 114, reconstruction beams 166 are produced from any holographic grating written into the master disk at the wavelength of the plane-wave beam 114. The counterpropagating plane-wave beam 114 and reconstruction 20 beams 166 then write holographic gratings into the replicant disk 162, which correspond to those in the master disk 164. Granted the replicated holographic gratings are not the same as in the master disk 164. However, they will still produce reconstruction beams having the same relative positions. 25 Therefore, when the replicated gratings produce reconstruction beams, they reproduce the same data as was encoded on the master disk 164.

In the above-described method for replication, the plane-wave 30 beam 114 could contain light having all the wavelengths used to write holographic grating on the master disk 164. In that case, all the holographic gratings of the master disk 164

would be replicated in the replicant disk 162, at once. Alternately, successive plane-wave beams 114, each being at one of the wavelengths used to encode the master disk 164, could be used to create the replicant disk 162. In the latter 5 case, each successive illumination by a plane-wave beam 114 at a different wavelength would write the holographic gratings of the master disk 164 associated with that wavelength of light, into the replicant disk 162. When all the wavelengths employed in the system are exhausted, the replication is complete. It 10 would even be possible to employ a plane-wave beam 114 having some but not all the wavelengths of light used to encode the master disk 164. Such a process would be beneficial where a laser source having all the wavelengths is not available, or where only part of the data from the master disk 164 is to be 15 transferred to the replicant disk 162.

An alternate replication method could also be used in which a mask replaces the master disk. As shown in Fig. 12, the mask 170 has reflective indentations 172. A holographic disk 174 in 20 accordance with the present invention is placed on top of the mask 170, top side down. The disk 174 and mask 170 are then illuminated with a plane-wave beam 114, as in the earlier described replication method. The plane-wave beam 114 passes 0through the disk 174 and impinges on the mask 170. The mask 25 170 absorbs or transmits the light from the plane-wave beam 114, except at the sites of the reflective indentations 172. The reflective indentations 172 are configured so that light from the plane-wave beam 114 is reflected back towards the disk 174. This reflected light 176, in combination with the 30 counterpropagating plane-wave beam 114, produces cone-shaped holographic gratings in the disk 174, similar to those described previously in reference to Fig. 7.

It is noted that the use of reflective indentations is not the only way to generate the desired grating-producing beams. An alternate embodiment would employ reflective ridges. Another 5 embodiment would use surface coatings or doping of the mask material itself to vary the reflectivity and transmissibility to produce the desired reflective portions. As long as the reflected beam generated by the mask creates the desired holographic gratings in the replicant disk, any one of these 10 methods would be acceptable.

Unless the data is identical at each wavelength of light employed in the system, a series of masks 170 will be required. Each mask 170 would encode the data for a particular 15 wavelength of light. For instance, the disk 174 would be placed on top of a first mask. The disk 174 and mask would then be illuminated with a plane-wave beam 114 having a first wavelength to encode the data corresponding to the reflective indentations 172 of the first mask. The disk 174 would next be 20 placed on top of a second mask and illuminated with a plane-wave beam having a second wavelength. This process continues until all the wavelengths employed in the system have been exhausted. It is not important that the disk 174 be placed in some predetermined rotational orientation on each mask, if the 25 system used to read the disk is of the type which scans the entire disk at one wavelength and then the next. The reasons for this were explained previously. However, if the system employed is of the type where each holographic-grating element is scanned with all the wavelengths before moving to the next, 30 a predetermined rotational orientation would have to be maintained to ensure alignment of the holographic gratings of

all wavelengths within a particular holographic-grating element.

Although the above-described embodiments of the present invention employing wavelength multiplexing of data have many advantages, it does still require the use of a tunable laser diode, or multiple single-wavelength laser diodes, to produce the different wavelengths of light required to create more than one holographic grating in a holographic-grating element.

10 The embodiments of the present invention to be described next eliminate this need for a tunable or multiple laser diodes.

The following embodiments of the invention require only one wavelength of light to be used, and will still allow multiple holographic gratings to be written into and read from a single
15 holographic-grating element.

Essentially, the general structure these new embodiments is the same as those discussed previously. However, instead of writing holographic gratings at different wavelengths in the

20 same holographic-grating element, multiple holographic gratings are written in the same element at a single wavelength by changing the point of focus of one of the counterpropagating beams. This multi-focus approach could be implemented in the focused beam embodiments of the invention.

25 However, this would require coordinating the focus of both the counterpropagating beams. Therefore, it is preferred that the multiple-focus approach be implemented in the plane-wave beam embodiments of the invention. In the plane-wave embodiments implementing the multi-focus approach, only the focus of the
30 focused beam need be changed. The plane-wave beam remains unchanged.

Figs. 13A-C illustrate embodiments according to the present invention incorporating the aforementioned multifocus approach. Essentially, these figures are modifications of the embodiments of Figs. 8B-C and 10, respectively. In each,

5 lens(es)118 is now capable of being moved along its optical axis such that the point at which the focused beam 112 comes to a focus, changes with position of the lens(es) 118. The lens(es)118 is moved by a repositioning device 180. Similarly, lens(es)132 is capable of being moved along its optical axis.

10 This movement allows the lens(es)132 to be repositioned in relation to the mask 130. Lens(es)132 is moved by repositioning device 182. The repositioning devices 180, 182 can be any of the well-known device used for this purpose. For instance, the lens repositioning devices employed in auto-

15 focus cameras or camcorders would be appropriate. The repositioning devices 180, 182 are controlled by a controller (not shown), such as a microprocessor. In the case of lens(es)118, the controller causes the repositioning device 180 to move the lens(es)118 to one of a series of

20 predetermined positions corresponding to desired point of focus for the focused beam 112. In the case of lens(es)132, the controller causes the repositioning device 182 to move the lens(es)132 to one of a series of predetermined positions in relation to the mask 130. The number of predetermined

25 positions in each series is equal to the number of possible holographic gratings N that can be written into a holographic-grating element.

The writing or recording of data is accomplish in the same way

30 as all previously described embodiments of the invention, with the exception that instead of changing the wavelength of light each time a new data bit is written into a holographic-grating

element 102, the position of the lens(es) 118 is changed. Thus, each time a new data bit is to be written into a holographic-grating element, the lens(es) 118 is moved to a new one of the aforementioned series of positions. In 5 operation, the lens(es) 118 is first positioned at one of the holographic grating elements 102 and a data bit is written in the disk 100. If the method whereby multiple data bits are written in each element 102 before going to the next element is employed, the lens(es) 118 is repositioned and data is 10 written into the same element 102, but at a different point of focus. This process continues until the desired number of data bits are written in the element 102. Then, the lens(es) 118 is repositioned at the next holographic-grating element, and the process is repeated. However, when the method is employed 15 whereby data bits are recorded in all the holographic-grating elements 102 on the disk 100 at a particular point of focus, before changing the point of focus and recording data in the entire disk 100 once again, the process is different. In this latter case, all the grating elements 102 of the disk 100 are 20 recorded with lens(es) 118 in its initial position. Then, the lens(es) 118 is repositioned to a new point of focus and data is recorded over the entire disk 100 once again, at this new lens position. The process continues until all the predetermined lens positions have been exhausted. Fig. 14 25 shows a time-collapsed illustration where three different data bits, in this case three holographic gratings, are written into the holographic material of a disk 100. One holographic grating was written with lens(es) 118 in position P_{w1} . Thereafter, another holographic grating was written with the 30 lens 118 in position P_{w2} , and finally the last grating was written with the lens(es) 118 in position P_{w3} . Similar to previous embodiments of the invention, the holographic

gratings were written into the holographic material by the interaction of the plane-wave 114, and the focused beam 112.

The readout of data is also accomplished in much the same way
5 as the plane-wave beam embodiments of the present invention employing wavelength-multiplexing. However, instead of cycling through a series of different wavelengths, the position of lens(es) 132 is changed. Fig. 15 shows the reading out of one of the holographic gratings written into the disk 100, as
10 illustrated in Fig. 14. When a holographic-grating element 102 is illuminated by the plane-wave 114, reconstruction beams 122, 134, 136 from all the holographic gratings written into the element are generated. These light beams 122, 134, 136 constitute reconstructions of the focused beam 112 used to
15 produce the holographic gratings. As each of the holographic gratings was created with the focused beam 112, focused at a different point, the reconstruction beams are also focused at these same divergent points. The reconstruction beams 122, 134, 136 are deflected toward the mask 130 and detector 124 by
20 the beam splitter 110 (or the mirror 160 if used). The lens(es) 132 has been placed at position P_{R2} , in Fig. 15. This P_{R2} position corresponds to a position which causes the reconstruction beam 122 from the holographic grating created with lens(es) 118 at position P_{W2} of Fig. 14, to come to a
25 focus at the opening 138 in the mask 130. Preferably, the opening 138 in the mask is approximately the size of the "spot" of the reconstructed beam (i.e., the cross-sectional size of the reconstruction beam at the point of focus) so that the reconstruction beam 122 passes through the opening 138
30 undisturbed. In this way all the energy of the reconstruction beam 122 reaches the detector 124. However, as can be seen in Fig. 15, most of the energy of the other reconstruction beams

134, 136 is blocked by the mask. Very little enters the opening 138 and reaches the detector. Therefore, only the data bit (*i.e.*, a holographic grating or lack thereof) recorded when the lens(es) 118 was at position P_{W2} of Fig. 14 is 5 detected. To read the other data bits recorded in the holographic-grating element 102, the position of lens(es) 132 is changed. The new position would correspond to a position where either reconstruction beam 134, or reconstruction beam 136, would come to a focus at the opening 138 in the mask 130. 10 Preferably, the beam splitter 110 and lens(es) 132 are chosen so that the separation between the points of focus of the various reconstruction beams 122, 134, 136 correspond to the separation between the various positions of lens(es) 118 used to create the holographic grating producing the beams. If so, 15 the distance between the various positions of lens(es) 118 would be the same as the distance between the corresponding positions of lens(es) 132.

It is also noted that adjacent holographic gratings will 20 produce reconstruction beams when the disk is illuminated by the plane-wave beam 114. However, these reconstruction beams will be blocked by the mask 130 in the same way described in connection with the previous embodiments of the present invention. Thus, the mask 130 performs double duty in the 25 confocal-multiplexed embodiments.

The above-described system where three data bits are written in each holographic-grating element, *i.e.*, $N = 3$, was just an example. More or less than three data bits could be written 30 into each holographic-grating element. However, the process would be the same. Each data bit would be written by placing lens(es) 118 in a different position, and each data bit would

be read by placing lens(es) 132 in a corresponding different position.

The separation between the points of focus of the focused beam, and so the reconstruction beams is chosen to produce an acceptable signal-to-noise ratio (SNR), within the constraints of the physical size of the system being employed. The SNR refers the amount of energy from adjacently focused reconstruction beams in comparison to the amount of energy that could reach the detector from a reconstruction beam focused at the opening of the mask. Suppose the focused positions of the reconstruction beams are separated by a distance L and the spot size of the reconstruction beams at the point of focus is δ . If L is much larger than δ , then the SNR is given by:

$$SNR = \frac{L^2}{2(f/\#)^2 \delta^2} \quad (4)$$

where $f/\#$ is the f number of lens(es) 132. As can be inferred from Equation (4), the SNR increases for larger distances L. Thus, it is desirable to make the separation between focused positions as large as possible. However, the thickness of the holographic material and the desired number of data bits to be written into the same holographic grating element must be considered, as well. For example, an embodiment of the invention where $L = 20\mu m$, $\delta = 0.5\mu m$, and $f/\# = 0.5$, would have a SNR of 3,200. To allow about 10 data bits per holographic grating element, a $200\mu m$ thick layer of holographic material would be required in this exemplary embodiment.

A plane-wave beam is used in the multi-focus approach of the embodiments of the invention shown in Figs. 13A-D to help create holographic gratings in the holographic material of the disk. Because of this, the replication methods discussed above

5 in association with Figs. 11 and 12 could be employed to replicate a disk encoded by the multi-focus approach, with a few modifications. In reference to the method where a master disk is used to create a replicant disk, a plane-wave beam 114 having the same wavelength as the counterpropagating beams

10 used to encode the multi-focus type master disk 164 is used to illuminate the replicant and master disks 162, 164, as shown in Fig. 16. This causes reconstruction beams 166 to be produced from any holographic gratings written into the master disk 164. The counterpropagating plane-wave beam 114 and

15 reconstruction beams 166 then write holographic gratings into the replicant disk 162. Since the reconstruction beams 166 are reconstructions of the focused beam used to create them, they have the same points of focus. Thus, the holographic gratings written into the replicant disk will produce reconstruction

20 beams having the same point of focus separations as the reconstruction beams 166 produced by the master disk 164. Accordingly, the data encoded into the master disk 164 is copied into the replicant disk 162.

25 In reference to the method where a mask 170 is used to create a replicant disk 174, a plane-wave beam 114 is used to illuminate the replicant and mask 174, 170, as shown in Fig. 17. The replicant disk 174 has been placed top side down over or on the mask 170. Light from the plane-wave beam 114 is

30 reflected back towards the replicant disk 174 from the reflective indentations 172. This reflected light 176, in combination with the counterpropagating plane-wave beam 114,

produces cone-shaped holographic gratings in the replicant disk 174. The replicant disk 174 is then disposed over a second mask, and the illumination process repeated. However, instead of the replicant mask being placed in the same 5 position relative to a perpendicular axis of the mask, as it was over the initial mask 170, it is placed in a new position. This new position corresponds to a distance equal to the desired separation of focus between the data encoded by the first mask and that encoded by the second. This repositioning 10 and illumination process with successive masks continues until all the N number of masks has been exhausted.

All the embodiments of the present invention discussed so far rely on counterpropagating beams to create the holographic 15 gratings in disk. During the reading process associated with these embodiments either a focused or plane-wave beam is used to illuminate the disk, and a reconstruction beam is produced which is directed back toward the direction of the illuminating beam. However, this need not be the case. A 20 transmissive scheme could also be employed. In the transmissive scheme, the holographic gratings are created in the disk by the interaction of a plane-wave beam and a focused beam both of which are directed toward the same side of the disk. The encoded disk is then read by illuminating the 25 same side of the disk with the plane-wave beam only. This produces a reconstruction beam which is directed outward from the opposite side of the disk.

Figs. 18A-B show exemplary implementations of this 30 transmissive scheme. These exemplary implementation are essentially modifications of the embodiments of the invention shown in Figs. 8C and 13C, associated with the wavelength-

multiplexed and confocal-multiplexed versions of the invention, respectively. In reference to Fig. 18A, which embodies the wavelength-multiplexed version of the invention, the laser diodes 142 are connected by the optic fibers 144 to 5 the inputs of a fiber coupler/splitter 146'. The coupler/splitter 146' divides the input from a laser diodes 142 between two separate output fibers 148, 152. The first fiber 148 is connected to a fiber holder 150 which is aligned with the optical axis of lens(es) 106. The second fiber 152 is 10 connected to the fiber holder 154 and transfers the light from the coupler/splitter 146' to the holder 154. The holder 154 aligns the end of the fiber 152 with the optical axis of lens(es) 156. The light transferred by the fiber 152 is collimated by lens(es) 156. Thereafter it is focused by 15 lens(es) 118. In comparison to the embodiment of Fig. 8C, it can be seen that the fiber holder 154, collimating lens(es) 156, and focusing lens(es) 118 have been reoriented such that the beam leaving focusing lens(es) 118 is now directed toward the side of the beam splitter 110. The beam splitter 110 20 redirects the focused beam coming from lens(es) 118 towards the top of the disk 100. Thus, during writing operations, the plane-wave beam exiting collimating lens(es) 106 and the focused beam leaving the focusing lens(es) 118 are coincident on the top of the disk 100. It can also be seen that the lens(es) 132, mask 130, and detector 124 have been reoriented 25 from their position in Fig. 8C. In the embodiment of Fig. 18A, these components 132, 130, 124 have been shifted to a position below the disk 100. During read operations, a plane-wave beam is generated and directed at the top of the disk 100. This 30 produces a beam representing a reconstruction of the aforementioned focused beam from any holographic grating existing in the grating element 102. The reconstruction beam

is directed out of the bottom of the disk where it is focused by lens(es) 132 and directed toward the mask 130 and detector 124. The operation of the mask 130 in regards to blocking reconstruction beams from adjacent holographic gratings is the
5 same as described in the previous embodiments of the invention.

In reference to Fig. 18B, which embodies the confocal-multiplexed version of the invention, it can be seen that
10 this version of the invention is constructed essentially the same as that described in connection with Fig. 18A, except that it has only one laser source 104 and incorporates repositioning devices 180, 182 of the embodiment of Fig. 13C. Specifically, lens(es) 118 is capable of being moved along its
15 optical axis such that the point at which the focused beam 112 comes to a focus changes with position of the lens(es) 118. The lens(es) 118 is moved by a repositioning device 180. Similarly, lens(es) 132 is capable of being moved along its optical axis. This movement allows the lens(es) 132 to be
20 repositioned in relation to the mask 130. Lens(es) 132 is moved by repositioning device 182. The repositioning devices 180, 182 are controlled by a controller (not shown), such as a microprocessor. In the case of lens(es) 118, the controller causes the repositioning device 180 to move the lens(es) 118
25 to one of a series of predetermined positions corresponding to desired point of focus for the focused beam 112. In the case of lens(es) 132, the controller causes the repositioning device 182 to move the lens(es) 132 to one of a series of predetermined positions in relation to the mask 130. The
30 number of predetermined positions in each series is equal to the number of possible holographic gratings N that can be written into a holographic-grating element. The fiber holder

154, collimating lens(es) 156, and focusing lens(es) 118, as well as the lens(es) 132, mask 130, and detector 124 have been reoriented in the same way as the embodiment described in conjunction with Fig. 18A. Therefore, here too during writing

5 operations, the plane-wave beam exiting collimating lens(es) 106 and the focused beam leaving the focusing lens(es) 118 are coincident on the top of the disk 100. And, during read operations, the plane-wave beam is generated and directed at the top of the disk 100 to produce a reconstruction beam from 10 any holographic grating existing in the grating element 102. As before, this reconstruction beam is directed out of the bottom of the disk where it is focused by lens(es) 132 and directed toward the mask 130 and detector 124.

15 15 The transmissive scheme embodiments described above where implemented in the versions of the invention illustrated by Figs. 8C and 11 C for exemplary purposes. As those skilled in the art will recognize, the transmissive scheme can also be implemented in other plane-wave embodiments of the invention, 20 such as those depicted in Figs. 8A-B and 13A-B.

Replication of a holographic disk created by the transmissive embodiments of the present invention is accomplished in very much the same way as described in conjunction with the other 25 embodiments of the invention. In the case where a master disk is used to encode a replicant disk the replicating process is the same with one exception. Instead of the replicant disk 162 being placed over the top of the master disk 164, as shown in Fig. 11. the replicant disk 162 is placed below the master 30 disk 164, as shown in Fig. 19A. This creates the required co-propagating relationship between the plane-wave beam 114 and the reconstruction 166.

In the case of the alternate method employing a mask, the configuration of the mask is modified to accomplish the replication. Specifically, the mask can be encoded with data such that the locations where a holographic grating is to be created in the replicant disk are made as transmissive as possible for the mask material used, while the remaining areas are made only partially transmissive. This can be accomplished by using surface coatings or doping of the mask material in accordance with well known methods. As shown in Fig. 19B, the mask 170' is placed over the top of the replicant disk 174. The highly transmissive areas 171 and partially transmissive areas 173 of the mask 170' have been created by one of the aforementioned methods. The mask 170' and disk 174 are illuminated from above by a plane-wave beam 114. Light 172 passing through the highly transmissive areas 171 is scattered upon leaving the mask 170' and transmitted into the replicant disk 174. Simultaneously, light 175 also passes through the partially transmissive areas 173 of the mask 170', only at a lower intensity, and is transmitted into the replicant disk 174. The light 172 from the highly transmissive areas 171 interacts with the light 175 from the partially transmissive areas 173 to produce the necessary co-propagating beams which create holographic gratings in the replicant disk 174 at locations corresponding to the highly transmissive areas 171 of the mask 170'. Thus, the data represented on the mask 170' by the highly transmissive areas 171 is encoded into the replicant disk 174.

An alternate method of replicating data with a mask to produce an optical disk in accordance with the transmissive embodiments of the present invention, is to vary the index of

refraction of the mask material. In this method, the mask material remains at a uniform transmissibility, preferably as high as possible for the material used. However, those areas corresponding to locations where a grating is to be created in
5 the replicant disk, are doped to have a different index of refraction via well known methods. When the light from the plane-wave beam encounters the boundary caused by the shift in index of refraction in the mask, the light is scattered. This scattering of the light produces a beam which, in conjunction
10 with the light transmitted through the rest of the mask, constitutes the co-propagating beams needed to create the holographic gratings in the replicant disk. This process is essentially depicted in Fig. 19B, except that the highly transmissive area 171 would be replaced with the areas having
15 a different index of refraction as explained above.

Up to this point, the embodiments of the present invention described have encoded the holographic disk with binary data where the presence of a holographic grating represented one
20 binary state and the absence thereof represented the other binary state. However, the disk can also be encoded in a different way. This alternate encoding scheme is possible because the diffraction efficiency of the holographic gratings can be controlled. The diffraction efficiency of gratings
25 created in holographic materials, such as those described previously, varies depending on how long the counterpropagating or co-propagating light beams are applied. The less time the two beams are applied to the disk, the lower the diffraction efficiency of the resulting holographic
30 grating, up to a certain point where a maximum efficiency is reached. The intensity of a reconstruction beam produced from a holographic grating will vary depending on the diffraction

efficiency of the grating. A higher diffraction efficiency produces a more intense reconstruction beam.

Given the above-described relationship between holographic
5 grating diffraction efficiency and the intensity of a
reconstruction beam produced by the grating, it can be seen
that an opportunity exists to purposefully fix the intensity
level of the reconstruction beam by manipulating the time the
two beams creating the 'grating are applied. Therefore, each
10 grating can be customized to produce a reconstruction beam of
a specific intensity level. Therefore, rather than relying on
detecting either the presence or absence of a holographic
grating, the intensity level of the reconstruction beam
produced by a grating could be used instead. This alternate
15 data encoding scheme would allow not only the encoding of
binary data, but also the encoding of data having more than
two discrete logic levels (*i.e.*, *n*-ary data), or analog-
valued data. For instance, when binary data is to be recorded,
the signal from the detector could be thresholded such that it
20 must exceed a certain level to qualify as one or other of the
two binary states. Thus, reconstruction beam intensities below
a certain level, or the absence of a beam, would represent one
binary state, and beam intensities above the aforementioned
level would represent the other binary state. In the case of
25 *n*-ary data, the electrical signal levels from the detector
could be processed and equated to one of a series of
predetermined states. For example, reconstruction beam
intensities resulting in a detector signal level exceeding a
particular predetermined threshold associated with a first
30 state, but lower than that required to qualify for the next
higher state could be recognized as a data corresponding
to the first state. Of course, the assignment of data states-

to-detector signal levels could be any desired and not necessarily one described in the foregoing example. Finally, in the case of analog-valued data, the strength of the electrical signal could be used directly to establish an
5 analog level.

Holographic grating diffraction efficiencies are also dependent on the intensity of the beams used to create the gratings, in some holographic materials. Therefore, instead of
10 manipulating the time the beams are applied, the intensity of the counterpropagating or co-propagating beams could be varied to create the desired grating efficiency. In some applications, the time required to create a grating with the desired diffraction efficiency may become prohibitive. In
15 these cases, using a intensity sensitive material could speed up the process. Additionally, both the time and intensity could be varied. This manipulation of both time and intensity would facilitate optimizing the process of creating a holographic grating with the desired efficiency in view of the
20 laser power available and the characteristics of the particular holographic material employed.

The devices and methods used to manipulate beam application times and/or intensity levels, as well as the processing of
25 the detector output signal are well known in the art, and do no form a novel part of the present invention. Therefore, no detailed description of these devices and methods will be given herein to avoid redundancy.

30 It is noted that the above-described methods for replication of disks are applicable to the n-ary and analog data encoding schemes, as well as the binary encoding scheme. When a

replicant disk is to be encoded with holographic gratings having varying diffraction efficiencies, the master disk or mask is simply designed to produce light beams having an intensity which will create the desired grating efficiency variations. For example, in the case of replication using a master disk, the master disk is encoded with holographic gratings having the required relative diffraction efficiencies between gratings. Thus, when the plane-wave illuminates the master and replicant disks, the reconstruction beams produced from the holographic gratings of the master disk will vary in intensity in the same proportion as the diffraction efficiencies of these same gratings. As the intensity of the reconstruction beam can determine the diffraction efficiency of the holographic grating created in the replicant disk, depending on the holographic material used, the aforementioned variation in the intensity of the reconstruction beams could be used to create corresponding variations in the replicant disk grating efficiencies. Therefore, the gratings created in the replicant disk will have the same relative diffraction efficiencies as does the master disk. It is not necessary that the master disk have gratings with the same efficiencies as those desired in the replicant disk. The absolute efficiencies of the gratings created in the replicant disk can be adjusted by manipulating the application time of the plane-wave. For instance, the plane-wave beam might be applied just long enough so that the grating having the highest efficiency (whatever it may be) in the master disk produces a corresponding grating in the replicant disk having the maximum efficiency possible for the type of holographic material used in the replicant disk. All the master dis. k gratings having lower efficiencies would produce correspondingly lower efficiency gratings in the replicant disk. After the

replication process is complete a comparison of the two disks would show that the relative efficiencies of the gratings in the master disk was the same as the replicant disk, even though their absolute efficiencies may not be the same.

- 5 Because of this relationship, the master disk could be made of a holographic material in which gratings having very high efficiencies can be created. The resulting reconstruction beams would therefore have high intensities. This could allow shorter plane-wave beam application times and so speed up the
10 replication process. The holographic material chosen for the replicant disk might also be chosen to be particularly reactive to the intensity variations of the reconstruction beams from the master disk. As can be seen, not only can the materials used to construct the master and replicant disks be
15 different, but they can be chosen to optimize the replication process.

- In the case of replication using a mask, the masks described previously can be modified to produce beams having varying
20 intensities. For instance, the material making up the mask could be doped to change its transmissibility or reflectivity. Thus, the beam-producing features in the mask can be tailored to produce a light beam of a specific intensity. Therefore, a replicant disk incorporating an intensity-sensitive
25 holographic material would be encoded with holographic gratings having diffraction efficiencies proportional to the intensity of the mask beams used to create them. In this way the n-ary and analog-valued data can be transferred to the replicant mask.

30

While the invention has been described in detail by reference to the preferred embodiment described above, it is understood

that variations and modifications thereof may be made without departing from the true spirit and scope of the invention. For example, other optical configurations could be employed in the above-described embodiments of the invention while still

5 producing the same results. Therefore, it is not intended to limit the invention to only those optical configuration described. Also, the preferred embodiments of the present invention could be implemented in medium of any format. For instance, the capabilities of the present invention were

10 compared to a conventional CD-ROM system employing a 5 inch disk. However, the present invention could just as easily in a system employing different optical drives and disk sizes. One possibility would be to adapt the present invention to optical systems using the larger 12 inch disk format. In addition, the

15 holographic disks recorded with data in accordance with the present invention could be stacked for readout, similar to the system described in the Background section of this specification. The above described wavelength-multiplexing and confocal-multiplexing approaches could also be combined. This

20 would allow even more data to be stored in one optical disk because at each point of focus location multiple holographic gratings at different wavelength could be created. Thus, the amount of data that could be stored would be the number of wavelengths employed times the number of points of focus

25 locations times the number of holographic grating elements on the disk.